



16 Rec'd PCT/PTO 29 MAY 2002

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

WILHELM et al.

Art Unit: N/A

Application No.: 10/031,401

Filed: January 18, 2002

Attorney Dkt. No.: 100564-00095

For: CYCLIC PEPTIDOMIMETIC UROKINASE RECEPTOR ANTAGONISTS

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
Washington, D.C. 20231

Date: May 29, 2002

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. § 371 dated April 9, 2002 (copy attached), the following are enclosed:

- ☐ \$_____ as payment of the surcharge for the Notice to File Missing Parts;
- ☐ \$_____ as payment for the [small entity] filing fee;
- ☐ \$_____ as payment for a _____ month extension of time;
- ☐ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application [with a Preliminary Amendment]; and
- ☐ An Information Disclosure Statement with Form PTO-1449, _____ refs. [and a search report];

- ☐ A Claim for Priority with a certified copy of _____ Application No. _____
filed _____;
- ☐ Originally executed Assignment with Form PTO-1595; and
- ☐ \$_____ as payment for the recordation of the Assignment.
- ☒ Statement Under 37 C.F.R. § 1.821(e), Sequence Listing (hard copy and
computer readable copy).

The Examiner is respectfully requested to acknowledge that all of the
requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account
No. 01-2300 referring to client-matter number 100564-00095.

Respectfully submitted,



D. Daniel Dzara, II
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10/031,401

29 MAY 2002

7/7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

WILHELM, et al.

Atty. Docket No.: 100564-00095

Application Number: 10/031,401

Group Art Unit: N/A

Filed: January 18, 2002

Examiner: N/A

For: CYCLIC PEPTIDOMIMETIC UROKINASE RECEPTOR ANTAGONISTS

STATEMENT UNDER 37 C.F.R. § 1.821

Commissioner of Patents
Washington, D.C. 20231

May 29, 2002

Sir:

In accordance with 37 C.F.R. § 1.821 and in accordance with the Examiner's request, Applicant hereby submits the Sequence Listing for the above-referenced application in paper copy and computer readable form.

The name of the file on the computer readable form is 100564-00095.txt. The paper copy and computer readable form are the same, and no new matter has been added.

In the event that this paper is not considered timely filed, Applicant hereby petitions for an appropriate extension of time. If necessary, please charge any additional

amounts or credit any overpayments to Direct Deposit Account Number 01-2300
referring to client matter number 100564-00095.

Respectfully Submitted,

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

A handwritten signature in black ink, appearing to read "D. Daniel Dzara, II", is written over a horizontal line.

D. Daniel Dzara, II

Reg. No. 47,543

Attorney for Applicant

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(202) 857-6395 (fax)

Enclosures:

- Sequence Listing on Diskette
- Sequence Listing in Paper Form
- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office

10 MAY 2002 29 MAY 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,401	Olaf Wilhelm	100564-00095

INTERNATIONAL APPLICATION NO.

PCT/EP00/06905

I.A. FILING DATE

07/19/2000

PRIORITY DATE

07/19/1999

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CONFIRMATION NO. 2280

371 FORMALITIES LETTER



OC000000007812784

Date Mailed: 04/09/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Assignee Statement
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,401	PCT/EP00/06905	100564-00095